

CASE: THELMA G. FINKEL V. SHEARSON LEHMAN HUTTON INC.
*89-22

DATE FILED: Sept. 1988 FIRST SCHEDULED Aug. 14, 1989 DECIDED Dec 4, 1989

CASE SUMMARY: * Customer Small Claim v. member bond dispute. Claimant
alleges misrepresentation and failure by broker to follow her instructions.
Respondent member firm is requesting attorney fees.

CLAIMANT'S INITIALS tgf RESPONDENT'S EW THIRD PARTY'S INITIALS _____
SESSIONS: #1

CLAIM AND AWARD DATA:					
CLAIM	<u>\$5,566.90</u>	CC/3rd PTY	<u>N/A</u>	AWARD	<u>\$176.47</u>
PUNITIVE	<u>N/A</u>	PUNITIVE	<u>N/A</u>	PUNITIVE	<u>N/A</u>
ATTY FEES	<u>N/A</u>	ATTY FEES	<u>Requested by M/Firm</u>	ATTY FEES	<u>Denied</u>
DEPOSIT	<u>\$200.00</u>	DEPOSIT	<u>N/A</u>	DEPOSIT	<u>\$200.00</u>
COSTS	<u>\$200.00</u>	COSTS	<u>N/A</u>	COSTS	<u>1/3 of cost assessed against Claimant</u>

DECISION: The undersigned arbitrators have decided and determined in full and final settlement of all claims between the parties that:*

THAT Claimant is awarded the sum of \$176.47;

THAT the cost of the arbitration, \$200.00, shall be assessed as follows:
Claimant will receive the amount of \$66.67 as a partial reimbursement
of her initial filing deposit. Respondent shall remit \$66.67 directly
to Claimant.

REMARKS: *

ARBITRATORS **
Harold Elovich, Esq., Chairman
and Sole Arbitrator

[Signature]
SIGNATURE

SIGNATURE

SIGNATURE

CITY N.Y. STATE N.Y. DATE: 12/1/89

* Additional pages may be attached.
** (Dissents)