

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

Hugh M. Robinson

Claimant(s)

vs.

Monmouth Investments, Inc.

Respondent(s)

NOTICE OF  
ARBITRATION  
AWARD  
90-00068

**CASE SUMMARY**

Claimant(s) Hugh M. Robinson alleged that Respondent(s) Monmouth Investments, Inc. made unauthorized transactions. Respondent did not respond.

**RELIEF REQUESTED**

Claimant(s) Hugh M. Robinson requested damages of Two Thousand Two Hundred Thirty Four Dollars and No Cents (\$2,234.00).

**AWARD**

Pursuant to Section 13 of the NASD, Inc. Code of Arbitration Procedure ("Simplified Arbitration") a single arbitrator, Roneé C. McLaughlin, Esq., was selected to review and determine the matter in controversy between the parties set forth in submissions to Arbitration signed by the Claimant(s) on December 29, 1989 and not by the Respondent as required by Section 12(a) of the NASD, Inc. Code of Arbitration Procedure;

And, that the Arbitrator, having considered the proofs of the Parties, including any additional documentary submissions of the Claimant(s) and the Respondent(s) has decided and determined in full and final resolution of the issue submitted for determination as follows:

That the Respondent is liable and will pay to the Claimant the sum of Two Thousand Two Hundred Thirty Four Dollars and No Cents (\$2,234.00).

The parties shall each bear their respective costs including attorney's fees.

The \$25.00 filing fee previously deposited with the NASD, Inc. by the Claimant(s) will be refunded to the Claimant by the Respondent.

April 17, 1990