

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

Gregory and Julia Agamy

Claimant(s)

vs.

Shearson Lehman Hutton, Inc.

Respondent(s)

NOTICE OF  
ARBITRATION  
AWARD

90-00092

CASE SUMMARY

Claimant(s) Gregory and Julia Agamy alleged that Respondent(s) Shearson Lehman Hutton, Inc. negligently mishandled Claimants' account held with Respondent. Respondent has not answered Claimants' allegations.

RELIEF REQUESTED

Claimant(s) Gregory and Julia Agamy requested damages of Three Thousand Six Hundred Thirty Seven Dollars and No Cents (\$3,637.00). Respondent(s) requested dismissal of claim and costs.

AWARD

Pursuant to Section 13 of the NASD, Inc. Code of Arbitration Procedure ("Simplified Arbitration") a single arbitrator, Perry E. Wallace, Jr., Esq., was selected to review and determine the matter in controversy between the parties set forth in submissions to Arbitration signed by the Claimant(s) on December 12, 1989 and not by the Respondent as required by Section 12(a) of the NASD, Inc. Code of Arbitration Procedure;

And, that the Arbitrator, having considered the proofs of the Parties, including any additional documentary submissions of the Claimant(s) and the Respondent(s) has decided and determined in full and final resolution of the issue submitted for determination as follows:

That the Respondent is liable and shall pay to the Claimant Julia S. Agamy the sum of One Thousand Dollars and No Cents (\$1,000.00), and;

That the Respondent is liable and shall pay to the Claimant Gregory J. Agamy the sum of Five Hundred Eighty Dollars and No Cents (\$580.00).

The parties shall each bear their respective costs including attorney's fees.

Notice of Arbitration Award  
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The \$100.00 filing fee previously deposited with the NASD, Inc. by the Claimant(s) shall be refunded to the Claimants by the Respondent.

June 11, 1990