

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

John L. Barron

Claimant(s)

vs.

Blinder, Robinson & Co., Inc.

Respondent(s)

NOTICE OF
ARBITRATION
AWARD
90-00139

CASE SUMMARY

Claimant(s) John L. Barron alleged that Respondent(s) Blinder, Robinson & Co., Inc. made misrepresentations. Respondent(s) maintain that they were legally selling non-registered securities and that they were not required to inform the Claimant that Amereco had experienced a fire in its factory.

RELIEF REQUESTED

Claimant(s) John L. Barron requested damages of Nine Hundred Forty Five Dollars and No Cents (\$945.00). Respondent(s) requested dismissal of claim and costs.

AWARD

Pursuant to Section 13 of the NASD, Inc. Code of Arbitration Procedure ("Simplified Arbitration") a single arbitrator, H. Victor Schwimmer, was selected to review and determine the matter in controversy between the parties set forth in submissions to Arbitration signed by the Claimant(s) on December 20, 1989 and by Respondent(s) on March 5, 1990, respectively;

And, that the Arbitrator, having considered the proofs of the Parties, including any additional documentary submissions of the Claimant(s) and the Respondents(s) has decided and determined in full and final resolution of the issue submitted for determination as follows:

The Respondent is liable and shall pay the sum of One Hundred Seventy Nine Dollars and No Cents (\$179.00).

The parties shall each bear their respective costs including attorney's fees.

The \$15 filing fee previously deposited with the NASD, Inc. by the Claimant(s) shall be refundable to the Claimant by the Respondent.

April 6, 1990