

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between
Martin Sloan

Claimant(s)

vs.

Wall Street Discount Corp.

Respondent(s)

**NOTICE OF
ARBITRATION
AWARD
90-00283**

CASE SUMMARY

Claimant(s) Martin Sloan alleged that Respondent(s) Wall Street Discount Corp. failed to execute a buy order. Respondent(s) maintain that the stock could not have been purchased at the Claimant's limit.

RELIEF REQUESTED

Claimant(s) Martin Sloan requested damages of One Thousand Five Hundred Forty Dollars and No Cents (\$1,540.00). Respondent(s) requested dismissal of claim and costs.

AWARD

Pursuant to Section 13 of the NASD, Inc. Code of Arbitration Procedure ("Simplified Arbitration") a single arbitrator, William L. Hawes, was selected to review and determine the matter in controversy between the parties set forth in submissions to Arbitration signed by the Claimant(s) on January 23, 1990 and by Respondent(s) on March 13, 1990, respectively;

And, that the Arbitrator, having considered the proofs of the Parties, including any additional documentary submissions of the Claimant(s) and the Respondent(s) has decided and determined in full and final resolution of the issue submitted for determination as follows:

That the claim of the Claimant is hereby dismissed in all respects.

The parties shall each bear their respective costs including attorney's fees.

The \$25.00 filing fee previously deposited with the NASD, Inc. by the Claimant(s) shall be refunded to the Claimant by the Respondent.

June 4, 1990