

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between
Terry Willey and Linda Willey

Claimant(s)

vs.

Russell Dalbey

Respondent(s)

NOTICE OF
ARBITRATION
AWARD
90-00399

CASE SUMMARY

Claimant(s) Terry Willey and Linda Willey alleged that Respondent(s) Russell Dalbey misrepresented material facts as to Claimants' investment with Respondent. Respondent denies Claimants' allegations.

RELIEF REQUESTED

Claimant(s) Terry Willey and Linda Willey requested damages of Four Thousand Four Hundred Forty Six Dollars and Twenty Nine Cents (\$4,446.29). Respondent(s) requested dismissal of claim and costs.

AWARD

Pursuant to Section 13 of the NASD, Inc. Code of Arbitration Procedure ("Simplified Arbitration") a single arbitrator, Patrick J. Halter, was selected to review and determine the matter in controversy between the parties set forth in submissions to Arbitration signed by the Claimant(s) on February 2, 1990 and by Respondent(s) on March 3, 1990, respectively;

And, that the Arbitrator, having considered the proofs of the Parties, including any additional documentary submissions of the Claimant(s) and the Respondent(s) has decided and determined in full and final resolution of the issue submitted for determination as follows:

That the claim of the Claimant is hereby dismissed in all respects.

The parties shall each bear their respective costs including attorney's fees.

The \$100.00 filing fee previously deposited with the NASD, Inc. by the Claimant(s) shall be refunded to the Claimant by the Respondent.

July 16, 1990