

— 24 —

:	In the Matter of the Arbitration Between	:	
:		:	
:	Ethan Gologor	:	
:		:	CASE# 90-00560
:	Claimant	:	
:		:	
:	vs.	:	AWARD
:		:	
:	Philip Cooper	:	
:		:	
:	Respondent	:	
:		:	

CASE SUMMARY

In a claim filed with the National Association of Securities Dealers, Inc. on February 26, 1990 Claimant Ethan Gologor alleged the Respondent Philip Cooper made various misrepresentations and omissions to him concerning the purchase and sale of shares of stock and executed an unauthorized trade. Respondent Philip Cooper maintained the Claimant was aware of all risks involved in his purchase of stock and further maintained all transactions were authorized by the Claimant and with his full knowledge and consent.

RELIEF REQUESTED

Claimant requested damages of \$9,419.00. Respondent requested dismissal of claim.

AWARD

On September 6, 1990 the undersigned arbitrator heard the controversy between the parties as set forth in submissions to arbitration signed by Claimant Ethan Gologor on February 21, 1990 and by Respondent Philip Cooper on April 16, 1990. The hearing was conducted at the offices of the National Association of Securities Dealers, Inc. located in New York, NY and consisted of one (1) hearing session. The arbitrator, having considered the pleadings, the testimony, and the evidence presented at the hearing, has determined in full and final resolution of the issues submitted for determination as follows:

1. The claim by the Claimant Ethan Gologor be and hereby is dismissed in all respects.
2. The parties shall each bear their respective costs, including attorney's fees.
3. Pursuant to Section 43 of the Code of Arbitration Procedure, the National Association of Securities Dealers, Inc. shall retain the \$200.00 filing fee previously deposited by the Claimant.

CONCURRING ARBITRATOR



Steve Thel

DATED: September 6, 1990