

**NATIONAL ASSOCIATION OF SECURITIES DEALERS**

In the Matter of the Arbitration Between  
Frank E. Turner, Jr.

Claimant(s)

vs.

David C. Dever

Respondent(s)

**AMENDED  
NOTICE OF  
ARBITRATION  
AWARD  
90-00876**

**THIS NOTICE OF ARBITRATION AWARD SUPERCEDES THE NOTICE OF  
ARBITRATION AWARD DATED AUGUST 7, 1990**

**CASE SUMMARY**

Claimant(s) Frank E. Turner, Jr. alleged that Respondent(s) David C. Dever engaged in unauthorized trades. Respondent(s) denies Claimant's allegations.

**RELIEF REQUESTED**

Claimant(s) Frank E. Turner, Jr. requested damages of Eight Thousand Four Hundred Nine Dollars and No Cents (\$8,409.00). Respondent(s) requested dismissal of claim and costs.

**AWARD**

Pursuant to Section 13 of the NASD, Inc. Code of Arbitration Procedure ("Simplified Arbitration") a single arbitrator, Mara K. Cole, was selected to review and determine the matter in controversy between the parties set forth in submissions to Arbitration signed by the Claimant(s) on March 20, 1990 and by Respondent(s) on May 23, 1990, respectively;

And, that the Arbitrator, having considered the proofs of the Parties, including any additional documentary submissions of the Claimant(s) and the Respondents(s) has decided and determined in full and final resolution of the issue submitted for determination as follows:

That the Respondent is liable and shall pay to the Claimant the sum of Eight Thousand Four Hundred Nine Dollars and No Cents (\$8,409.00), and;

Upon receipt of this award, the Claimant shall surrender the stock involved in the dispute (4000 Intl. Bankcard Svcs. Corp.) which remains in his account.

The parties shall each bear their respective costs including attorney's fees.

Notice of Arbitration Award  
Case No. 90-00876  
Page Two

The \$200 filing fee previously deposited with the NASD, Inc. by the Claimant(s) shall be refunded to the Claimant by the Respondent.

Affirmation

STATE OF *Connecticut*

} SS: *041-40-0266*  
}

COUNTY OF *Litchfield*

I, *Mara K. Cole*, do hereby affirm upon my oath as arbitrator that I am the individual described in and who executed this instrument, which is my oath and award.

*7/25/90*  
(DATE)

*Mara K. Cole*  
(SIGNATURE OF ARBITRATOR)