

**NATIONAL ASSOCIATION OF SECURITIES DEALERS**

In the Matter of the Arbitration Between  
Gabi Shabtai

Claimant(s)

vs.

P.B. Jameson Co., and  
Charles Jackson Carlton

Respondent(s)

**NOTICE OF  
ARBITRATION  
AWARD  
90-00953**

**CASE SUMMARY**

Claimant(s) Gabi Shabtai alleged that Respondent(s) P.B. Jameson Co., and Charles Jackson Carlton failed to send him stock certificates and as a result his ability to sell his stock was adversely affected. Respondent(s) did not respond.

**RELIEF REQUESTED**

Claimant(s) Gabi Shabtai requested damages of One Thousand Three Hundred Ninety Eight Dollars and No Cents (\$1,398.00).

**AWARD**

Pursuant to Section 13 of the NASD, Inc. Code of Arbitration Procedure ("Simplified Arbitration") a single arbitrator, Perry E. Wallace, Jr., Esq., was selected to review and determine the matter in controversy between the parties set forth in submissions to Arbitration signed by the Claimant(s) on March 27, 1990 and not by the Respondent as required by Section 12(a) of the NASD, Inc. Code of Arbitration Procedure;

And, that the Arbitrator, having considered the proofs of the Parties, including any additional documentary submissions of the Claimant(s) and the Respondent(s) has decided and determined in full and final resolution of the issue submitted for determination as follows:

That the Respondents are jointly and severally liable and shall pay to the Claimant the sum of One Thousand Five Hundred Fifty Dollars and Fifty Cents (\$1,550.50), which is inclusive of interest;

The parties shall each bear their respective costs including attorney's fees.

Notice of Arbitration Award  
Case No. 90-00953  
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The \$25.00. filing fee previously deposited with the NASD, Inc. by the Claimant(s) shall be refunded to the Claimant by the Respondents.

July 9, 1990