

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

G. William Jewell

Claimant(s)

vs.

Pace Securities

Respondent(s)

**NOTICE OF
ARBITRATION**

AWARD

90-01062

CASE SUMMARY

Claimant(s) G. William Jewell alleged that Respondent(s) Pace Securities failed to follow Claimant's instructions, which were to execute the purchase of certain securities on an "all or none" basis. Respondent denies that the order was placed on an "all or none" basis.

RELIEF REQUESTED

Claimant(s) G. William Jewell requested damages of One Hundred Forty One Dollars and No Cents (\$141.00). Respondent(s) requested dismissal of claim and costs.

AWARD

Pursuant to Section 13 of the NASD, Inc. Code of Arbitration Procedure ("Simplified Arbitration") a single arbitrator, Robert L. Agosto, Esq., was selected to review and determine the matter in controversy between the parties set forth in submissions to Arbitration signed by the Claimant(s) on March 29, 1990 and by Respondent(s) on May 11, 1990, respectively;

And, that the Arbitrator, having considered the proofs of the Parties, including any additional documentary submissions of the Claimant(s) and the Respondent(s) has decided and determined in full and final resolution of the issue submitted for determination as follows:

That the Respondent is liable and shall pay to the Claimant the sum of One Hundred Dollars and No Cents (\$100.00).

The parties shall each bear their respective costs including attorney's fees.

The \$15.00 filing fee previously deposited with the NASD, Inc. by the Claimant(s) shall be refunded to the Claimant by the Respondent.

July 10, 1990