



determine the matter in controversy between the parties set forth in submissions to Arbitration signed by the Claimant on May 8, 1990 and by the Respondent on November 13, 1990, respectively;

And, that the Arbitrator, having considered the proof of the Parties, has decided and determined in full and final resolution of the issues submitted for determination as follows:

1. The claim by Alan E. Tasoff be and hereby is dismissed in all respects.
2. The Two Hundred Dollar and No Cents (\$200.00) filing fee previously deposited with the National Association of Securities Dealers, Inc. by Claimant, shall be refunded to Claimant by the NASD, Inc. and assessed against the Respondent, Joseph Frederick. Respondent Frederick shall pay the Two Hundred Dollar and No Cents (\$200.00) fee to the National Association of Securities Dealers, Inc.

AFFIRMATION

I, PHILIP LEVINE, ESQ., do hereby affirm upon my oath as arbitrator that I am the individual described herein and who executed this instrument, which is my oath and award.

  
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Signature of Arbitrator

DATED: November 27, 1990