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NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between
Jeffrey D. Burton

Claimant(s)

vs.

Burke, Christensen and
Lewi Securities, Inc.

Respondent(s)

NOTICE OF
ARBITRATION
AWARD
90-01512

CASE SUMMARY

Claimant(s) Jeffrey D. Burton alleged that Respondent(s) Burke, Christensen and Lewi Securities, Inc. negligently misrepresented material facts as to Claimant's account held with Respondent. Respondent(s) deny Claimant's allegations.

RELIEF REQUESTED

Claimant(s) Jeffrey D. Burton requested damages of Four Hundred Sixty Three Dollars and No Cents (\$463.00). Respondent(s) requested dismissal of claim and costs.

AWARD

Pursuant to Section 13 of the NASD, Inc. Code of Arbitration Procedure ("Simplified Arbitration") a single arbitrator, William Zysk, was selected to review and determine the matter in controversy between the parties set forth in submissions to Arbitration signed by the Claimant(s) on May 18, 1990 and by Respondent(s) on July 11, 1990, respectively;

And, that the Arbitrator, having considered the proofs of the Parties, including any additional documentary submissions of the Claimant(s) and the Respondent(s) has decided and determined in full and final resolution of the issue submitted for determination as follows:

That the claim of the Claimant is hereby dismissed in all respects.

The parties shall each bear their respective costs including attorney's fees.

The \$15.00 filing fee previously deposited with the NASD, Inc. by the Claimant(s) shall be retained by the NASD as costs of this proceeding.

September 6, 1990

Note: Fill out only those sections which apply to this particular case: Case Number 90-01512

- 1) Case Dismissed _____
- 2) Find for the Claimant
(a) total amount of the award: \$ _____
(b) if two or more respondents are liable:
(1) joint and severally liable: yes _____ no _____
(2) if respondents separately liable
list party and the amounts: _____

- 3) Counterclaim of Respondent
(a) total amount of award: \$ _____
(b) party to receive award: _____
(c) counterclaim dismissed: _____
- 4) Punitive Damages
(a) total amount \$ _____
(b) name parties against whom damages are to be
assessed and in what amounts: _____

- (c) claim dismissed: _____
- 5) NASD Fee
(a) assessed against Claimant _____
(b) assessed against Respondent _____
- 6) Use this space to expand or clarify any part of the
decision above or to indicate other forms of specific
relief.

Affirmation

STATE OF Pennsylvania

COUNTY OF Dauphin

I, William J. ..., do hereby affirm upon my oath
as arbitrator that I am the individual described in and who
executed this instrument, which is my oath and award.

9/27/00
(DATE)

[Signature]
(SIGNATURE OF ARBITRATOR)