

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

Don Rametta

Claimant(s)

vs.

Kober Financial Corp.

Respondent(s)

**NOTICE OF
ARBITRATION
AWARD**

90-01557

CASE SUMMARY

Claimant(s) Don Rametta alleged that Respondent(s) Kober Financial Corp. misrepresented material facts and used high-pressure sales tactics to induce Claimant to purchase securities. Respondent(s) maintain that it executed an order on behalf of the Claimant at the best price available and in conformity with all applicable securities rules and regulations.

RELIEF REQUESTED

Claimant(s) Don Rametta requested damages of Eight Hundred Ninety Eight Dollars and No Cents (\$898.00). Respondent(s) requested dismissal of claim and costs.

AWARD

Pursuant to Section 13 of the NASD, Inc. Code of Arbitration Procedure ("Simplified Arbitration") a single arbitrator, Thomas B. Corey, Esq., was selected to review and determine the matter in controversy between the parties set forth in submissions to Arbitration signed by the Claimant(s) on May 29, 1990 and by Respondent(s) on July 20, 1990, respectively;

And, that the Arbitrator, having considered the proofs of the Parties, including any additional documentary submissions of the Claimant(s) and the Respondent(s) has decided and determined in full and final resolution of the issue submitted for determination as follows:

That the Respondent is liable and shall pay to the Claimant the sum of Eight Hundred Ninety Eight Dollars and No Cents (\$898.00).

The parties shall each bear their respective costs including attorney's fees.

Notice of Arbitration Award
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The \$15.00 filing fee previously deposited with the NASD, Inc. by the Claimant(s) shall be refunded to the Claimant by the Respondent.

August 13, 1990