

AMERICAN STOCK EXCHANGE
IN THE MATTER OF ARBITRATION BETWEEN

FLORENCE FEINSTEIN v. SHEARSON LEHMAN HUTTON INC.

CASE:

90-17

DATE FILED: Sept. 12, 1989 FIRST SCHEDULED July 24, 1990 DECIDED July 24, 1990

CASE SUMMARY: * Customer v. member dispute. Claimant alleges Respondent's employee issued false statements and incorrect information which caused money damages in her account.

CLAIMANT'S INITIALS FF RESPONDENT'S SLH THIRD PARTY'S INITIALS _____
CONFESSIONS: _____

CLAIM AND AWARD DATA:

CLAIM	<u>\$13,023.00</u>	CC/3rd PTY	<u>N/A</u>	AWARD	<u>\$6,750.00</u>
PUNITIVE	<u>N/A</u>	PUNITIVE	<u>N/A</u>	PUNITIVE	<u>N/A</u>
ATTY FEES	<u>N/A</u>	ATTY FEES	<u>N/A</u>	ATTY FEES	<u>N/A</u>
DEPOSIT	<u>\$400.00</u>	DEPOSIT	<u>N/A</u>	DEPOSIT	<u>-\$400.00</u>
COSTS	<u>\$400.00</u>	COSTS	<u>N/A</u>	COSTS	<u>\$400.00</u>

DECISION: The undersigned arbitrators have decided and determined in full and final settlement of all claims between the parties that:*

THAT Claimant is awarded the sum of \$6,750;

THAT the cost of the arbitration, \$400, shall be paid by

Respondent Shearson Lehman Hutton, Inc., who shall remit

\$400 directly to Claimant as a refund of her initial deposit.

REMARKS: *

ARBITRATORS **

Bernard Helldorfer, Esq., Chairman

Merle Brown SJS

Jack Friedman, Esq.

CITY New York

STATE

New York

DATE:

September 6, 1990

* Additional pages may be attached
** (Dissents)

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