

AMERICAN STOCK EXCHANGE
IN THE MATTER OF ARBITRATION BETWEEN

CASE: DEBORAH GRAHAM V. SHEARSON LEHMAN HUTTON INC.

#90-18

DATE FILED: Nov. 27, 1989 FIRST SCHEDULED Aug. 16, 1990 DECIDED Aug. 21, 1990

CASE SUMMARY: * Customer v. Member small claims dispute. Claimant alleges mishandling
of her account & unauthorized trading.

CLAIMANT'S INITIALS NG RESPONDENT'S plw THIRD PARTY'S INITIALS _____

SESSIONS: #1

CLAIM AND AWARD DATA:

CLAIM	<u>\$8,521.15</u>	CC/3rd PTY	<u>N/A</u>	AWARD	<u>\$5,144.35</u>
PUNITIVE	<u>N/A</u>	PUNITIVE	<u>N/A</u>	PUNITIVE	<u>N/A</u>
ATTY FEES	<u>N/A</u>	ATTY FEES	<u>N/A</u>	ATTY FEES	<u>N/A</u>
DEPOSIT	<u>\$200.00</u>	DEPOSIT	<u>N/A</u>	DEPOSIT	<u>\$200.00</u>
COSTS	<u>\$200.00</u>	COSTS	<u>N/A</u>	COSTS	<u>\$200.00</u>

DECISION: The undersigned arbitrators have decided and determined in full and final settlement of all claims between the parties that:*

THAT Claimant is awarded the sum of \$5,144.35;

THAT the costs of the arbitration, \$200.00, shall be paid by Respondent Shearson Lehman Hutton Inc. who shall pay \$200.00 directly to Claimant as a refund of her initial filing deposit.

REMARKS: *

ARBITRATORS **

1. Mandel, Esq., Sole Arbitrator

Howard I. Mandel
SIGNATURE

SIGNATURE

SIGNATURE

New York

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New York

DATE: 8/24/90

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