

IN ARBITRATION
UNDER CHAPTER XVIII OF THE RULES
OF THE CHICAGO BOARD OPTIONS EXCHANGE, INCORPORATED

IN THE MATTER)
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)

Initiating Party)

and)

Raymond James & Associates)

Responding Party)
_____)

Arbitration File No. 90-NM-11

AWARD

The captioned matter was submitted on March 21, 1990. The named parties appeared at a hearing on January 29, 1992 in Houston, Texas and had full opportunity to present arguments and evidence. The above captioned controversy involved the following issues:

~~Raymond James & Associates~~ alleges that due to an execution error made by respondent Raymond James & Associates, ~~Raymond James & Associates~~ is entitled to \$74,425.00. Raymond James & Associates denies any liability.

The undersigned arbitrators have been fully advised by the parties and after due deliberation, award as follows:

No award rendered. Filing fees on deposit with the Exchange are retained.

/s/ George L. Walker
George L. Walker

Feb 11, 1992
Date

/s/ Patrick Lanier
Patrick Lanier

2/13/92
Date

/s/ Thomas J. Lisenby
Thomas J. Lisenby

Feb 11, 1992
Date