

PHILADELPHIA STOCK EXCHANGE, INC.

In the Matter of Arbitration Between:

Sidney Cohen,	:	
Claimant	:	Decision 91-01
v.	:	
Prudential-Bache Securities, Inc.,	:	
Respondents	:	

Claimant filed his Statement of Claim and notarized Submission Agreement with the Philadelphia Stock Exchange, Inc. ("Exchange") which was received by the Exchange on May 21, 1991. Claimant alleged that Robert Gabriel, Claimant's financial advisor, failed to execute his sell order for 10 Philadelphia Hospital Authority Revenue Bonds. Claimant sought damages in the amount of \$9,200.00. The Respondent filed its Answer with the Exchange which was received on July 24, 1991.

Patricia Harper, Angelo Cameron and Thomas Johanson are the appointed and confirmed arbitrators who have issued the following Decision based exclusively on the parties' pleadings and supporting documentary evidence:

1. Claimant's Statement of Claim is hereby DENIED in its entirety, and
2. Costs in the amount of \$350.00 are assessed equally between the parties. Claimant is to remit to the Exchange \$75.00 (credit for \$100.00 initial deposit fee) and Respondent is to remit to the Exchange \$175.00 within ten (10) business days from receipt of this Decision.

Patricia Harper, Chairperson

5/21/91

Dated

Angelo Cameron

Thomas Johanson