

NATIONAL ASSOCIATION OF SECURITIES DEALERS, INC.

In the Matter of the Arbitration Between :

Vernon James Kordahl :

Claimant :

CASE #91-01211
AWARD

vs. :

Bateman Eichler, Hill Richards, Inc. :

Respondent :

CASE SUMMARY

In a claim filed with the National Association of Securities Dealers, Inc. on April 16, 1991, Claimant Vernon James Kordahl who appeared Pro Se, alleged that Respondent Bateman Eichler, Hill Richards, Inc. failed to execute a "good till cancelled" purchase order of Waste Management stock, causing the Claimant to purchase the stock at a higher price. The Claimant further alleged that the Respondent agreed with him but refused to rectify the problem.

Respondent, Bateman Eichler, Hill Richards, Inc., through their Associate V.P., Brian K. Lowe, maintained that the Claimant has failed to show that he has a case. They further maintained that the Claimant has "garbled" facts, imputed business relationships and presented a story which simply doesn't conform to common sense.

RELIEF REQUESTED

Claimant, Vernon James Kordahl requested \$1,375.00 in actual damages.

Respondent, Bateman Eichler, Hill Richards, Inc. requested the claims of the Claimant be dismissed.

AWARD

Pursuant to Section 13 of the National Association of Securities Dealers, Inc. Code of Arbitration Procedure, a single Public Arbitrator, George M. Wiener, was selected to review and determine the matter in controversy between the parties set forth

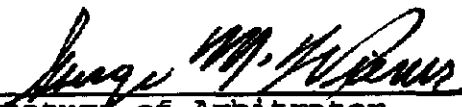
in submissions to Arbitration signed by the Claimant on April 9, 1991 and by the Respondent on June 5, 1991.

And, the Arbitrator, having considered the proof of the Parties, has decided and determined in full and final resolution of the issues submitted for determination as follows:

1. The claims of the Claimant Vernon James Kordahl against Respondent Bateman Eichler, Hill Richards, Inc. are dismissed.
2. The parties shall bear their respective costs.
3. The \$50.00 filing fee previously deposited with the National Association of Securities Dealers, Inc. by the Claimant shall be retained by the NASD, Inc.

AFFIRMATION

I, GEORGE M. WIENER, do hereby affirm upon my oath as arbitrator that I am the individual described herein and who executed this instrument, which is my oath and award.



Signature of Arbitrator

DATE OF DECISION: March 19, 1992