

N.A.S.D. FINAL ORDER

NATIONAL ASSOCIATION OF SECURITIES DEALERS

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In the Matter of the Arbitration Between

Name of Claimant(s)

Antonetta and Frank Franzone  
Antonetta Frazone, Custodian

**ORDER**  
91-01919

Name of Respondent(s)

Shearson Lehman Brothers, Inc.  
Kenneth Miller

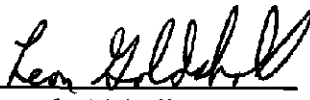
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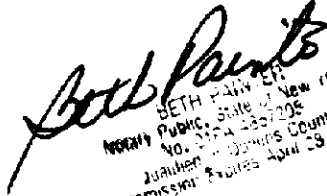
The undersigned arbitrators have considered the written submissions and oral argument presented by the parties relative to the Respondents' Motion to Dismiss the Claimants' claims relating to their investments in Shearson California Radisson Plaza Partners Limited Partnership ("Radisson") and Stamford Towers Limited Partnership ("Stamford Towers"). The arbitrators hereby order that pursuant to Section 12(d) of the Code of Arbitration Procedure the Claimants are barred from pursuing all claims relating to their investments in Radisson and Stamford Towers Limited Partnerships in the above captioned arbitration proceeding.

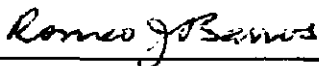
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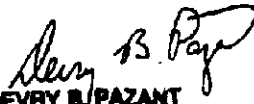
Final Order #91-01919

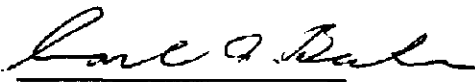
**ARBITRATORS' SIGNATURE**

  
Leon Goldsholl  
Industry Arbitrator

  
BETH PAINE, ESQ.  
NOTARY PUBLIC, State of New York  
No. 31-4962158  
Qualified in Queens County  
Commission Expires April 28, 1994

  
Romeo J. Barros, Esq. NOTARY PUBLIC, State of New York  
Public Arbitrator No. 31-4962158  
Qualified in New York County  
Commission Expires February 12, 1992

  
DEVRY B. PAZANT  
NOTARY PUBLIC, State of New York  
No. 31-4962158  
Qualified in New York County  
Commission Expires February 12, 1992

  
Carl A. Becker, Esq.

Public Arbitrator - The Chairman respectfully dissents from the above decision.

EVELYN M. ZUPA  
NOTARY PUBLIC  
MY COMMISSION EXPIRES FEB. 28, 1998

Date of Decision: January 7, 1994

Final Order #91-01919

STATE OF: *New York*

SS:

COUNTY OF: *Queens*

On this *3<sup>rd</sup>* day of *JANUARY*, 1994, before me personally appeared Leon Goldsholl known and known to me to be the individual described in and who executed the foregoing instrument and be duly acknowledged to me that he executed the same.

*Beth Painter*  
NOTARY PUBLIC  
BETH PAINTER  
Notary Public, State of New York  
No. 31-4962158  
Qualified in New York County  
Commission Expires February 12, 1994

STATE OF: *New York*

SS:

COUNTY OF: *New York*

On this *25<sup>th</sup>* day of *December*, 1993, before me personally appeared Romeo J. Barros, Esq. known and known to me to be the individual described in and who executed the foregoing instrument and be duly acknowledged to me that he executed the same.

*Devry B. Pazanti*  
DEVRY B. PAZANTI  
NOTARY PUBLIC, State of New York  
No. 31-4962158  
Qualified in New York County  
Commission Expires February 12, 1994

STATE OF: *Connecticut*

SS: *Stamford*

COUNTY OF: *Fairfield*

On this *20<sup>th</sup>* day of *December*, 1993, before me personally appeared Carl A. Becker, Esq. known and known to me to be the individual described in and who executed the foregoing instrument and be duly acknowledged to me that he executed the same.

*Evelyn M. Zupa*  
EVELYN M. ZUPA  
NOTARY PUBLIC  
MY COMMISSION EXPIRES FEB. 28, 1998

N.A.S.D. FINAL ORDER

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**ORDER**  
**91-01919**

Name of Respondent(s)

Shearson Lehman Brothers, Inc.  
Kenneth Miller

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ARBITRATORS' SIGNATURE

Leon Goldsholl  
Leon Goldsholl  
Industry Arbitrator

Beth Fain  
BETH FAIRFAX  
Notary Public, State of New York  
No. 3124-2257206  
Qualifies in Queens County  
Commission Expires April 29, 1994

Romeo J. Barros  
Romeo J. Barros, Esq.  
Public Arbitrator

Devry B. Pazant  
DEVRY B. PAZANT  
NOTARY PUBLIC, State of New York  
No. 31-4962158  
Qualified in New York County  
Commission Expires February 12, 1992

Carl A. Becker  
Carl A. Becker, Esq.  
Public Arbitrator - The Chairman respectfully dissents from the above decision.

EVELYN M. ZUPA  
NOTARY PUBLIC  
MY COMMISSION EXPIRES FEB. 28, 1998

Date of Decision: January 7, 1994

Final Order #91-01919

STATE OF: *New York*

SS:

COUNTY OF: *Queens*

On this *3<sup>rd</sup>* day of *JANUARY*, 1994, before me personally appeared Leon Goldsholl known and known to me to be the individual described in and who executed the foregoing instrument and he duly acknowledged to me that he executed the same.

*Beth Painter*  
NOTARY PUBLIC  
BETH PAINTER  
Notary Public, State of New York  
No. 1257205  
Commission Expires February 28, 1994

STATE OF: *New York*

SS:

COUNTY OF: *New York*

On this *28<sup>th</sup>* day of *December*, 1993, before me personally appeared Romeo J. Barros, Esq. known and known to me to be the individual described in and who executed the foregoing instrument and he duly acknowledged to me that he executed the same.

*Devry B. Fazant*  
DEVRY B. FAZANT  
NOTARY PUBLIC, State of New York  
No. 31-4962158  
Qualified in New York County  
Commission Expires February 12, 1994

STATE OF: *Connecticut*

SS: *Stamford*

COUNTY OF: *Fairfield*

On this *20<sup>th</sup>* day of *December*, 1993, before me personally appeared Carl A. Becker, Esq. known and known to me to be the individual described in and who executed the foregoing instrument and he duly acknowledged to me that he executed the same.

*Evelyn M. Zupa*  
EVELYN M. ZUPA  
NOTARY PUBLIC  
MY COMMISSION EXPIRES FEB. 28, 1998