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AWARD

Pursuant to Section 13 of the National Association of Securities Dealers, Inc. Code of Arbitration Procedure, a single Public Arbitrator, Albert Brenman, was selected to review and determine the matter in controversy between the parties set forth in submissions to Arbitration signed by the Claimant on June 17, 1991, by the Respondent Quick & Reilly, Inc. on August 12, 1991, by Respondent Paul D. Zdeb on August 9, 1991, by Respondent U.S. Clearing Corp. on August 12, 1991 and by Respondent Bruce Bratt on August 9, 1991.

And, the Arbitrator, having considered the proof of the Parties, has decided and determined in full and final resolution of the issues submitted for determination as follows:

1. The claims of the Claimant Carl E. Bock against Respondents Quick & Reilly, Inc., Paul D. Zdeb, Bruce W. Bratt and U.S. Clearing Corp. and dismissed in their entirety.
2. The parties shall bear their respective costs.
3. The \$150.00 filing fee previously deposited with the National Association of Securities Dealers, Inc. by the Claimant shall be retained by the NASD, Inc.

AFFIRMATION

I, **ALBERT BRENNMAN**, do hereby affirm upon my oath as arbitrator that I am the individual described herein and who executed this instrument, which is my oath and award.



Signature of Arbitrator

DATE OF DECISION: May 12, 1992

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AFFIRMATION

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Signature of Arbitrator

DATE OF DECISION: May 12, 1992