

**IN ARBITRATION
UNDER CHAPTER XVIII OF THE RULES
OF THE CHICAGO BOARD OPTIONS EXCHANGE, INCORPORATED**

IN THE MATTER)
)
)

Calvin N. Sparrow)
)
)

Initiating Party)
)
)

and)

Arbitration File No. 91-M-18

Wagner-Stott Clearing Corporation)
d/b/a Securities Options Corp.)
)
)

Responding Party)
_____)

AWARD

The captioned matter was submitted on June 26, 1991. The named parties appeared at a hearing on October 8, 1991 in Chicago, Illinois, and each had full opportunity to present arguments and evidence. The above captioned controversy involved the following issues:

Claimant alleges that on the day in question the respondent had a duty and obligation to call him at his home and inform him of an unmatched trade that existed in his account. Claimant is seeking damages in the amount of \$47,250.

The undersigned arbitrators have been fully advised by the parties and after due deliberation, award as follows:

No award rendered. Filing and hearing session fees on deposit with the Exchange are retained.

Charles B. Cox, III
Charles B. Cox, III

10-17-91
Date

Margaret B. Baker
Margaret B. Baker

Oct 17, 1991
Date

Richard R. Taylor
Richard R. Taylor

10-17-91
Date