

**N.A.S.D. AWARD**

**NATIONAL ASSOCIATION OF SECURITIES DEALERS**

In the Matter of the Arbitration Between

**Name of Claimant(s)**

Bernice Borison Siegel

NASD Arbitration  
No. 92-00848

**Name of Respondent(s)**

Shearson Lehman Hutton, Inc.  
Ron Mason  
Mark Martino

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**REPRESENTATION**

For Claimant: Bruce R. Safran, Esq., Sherman Oaks, California

For Respondents: Timothy N. Will, Esq., Keesal, Young & Logan, Long Beach, California

**CASE INFORMATION**

Statement of Claim filed: March 9, 1992

First Amended Statement of Claim filed: December 23, 1992

Claimant's Response to Respondents Shearson Lehman Hutton, Inc. and Ron Mason's Motion for Dismissal filed: July 10, 1992

Claimant's Second Reply to Respondents' Motion for Dismissal filed: December 23, 1992

Bruce R. Safran's letter of December 29, 1992, enclosing a copy of Claimant's Second Reply to Respondents' Motion for Dismissal with Exhibit "A" filed: January 4, 1993

Bruce R. Safran's letter of February 25, 1993 relative to Claimant's Opposition