

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

Robert Tarrington
Helen S. Tarrington

Claimant(s)

vs.

ORDER
CASE NO. 92-00868

Prudential-Bache Securities Inc.
John Eisele
Jerome Ira Baron

Respondent(s)

ORDER OF PANEL ASSESSING FORUM FEES

On June 22, 1993, after completing a total of eight hearing sessions conducted on May 18, 1993, May 19, 1993, June 21, 1993 and June 22, 1993, the panel signed an Order of Dismissal of All Claims agreed to by the parties and counsel. A copy of this Order, read into the record, is attached and incorporated herein.

In paragraph three of the attached Order, the parties agreed that any forum fees currently owing by the parties shall be split equally between the Claimants, collectively, and the Respondents, collectively, but in no event shall the fees owed by Respondents, collectively, exceed \$3,000.00.

Pursuant to this agreement and Section 43c of the Code of Arbitration Procedure, the following forum fees are assessed: The National Association of Securities Dealers, Inc. shall retain the \$1,000.00 hearing session deposit previously deposited by the Claimants as well as the \$600.00 hearing session deposit previously deposited by Prudential Securities, Inc. Forum fees are to be split between the parties and are calculated as follows:

Eight hearing sessions @ \$1,000.00/session	=	\$8,000.00
Total fees assessed	=	\$8,000.00
Claimants' share, jointly and severally (50%)	=	\$4,000.00
Credit for hearing deposit	=	\$1,000.00
Balance due	=	\$3,000.00

Respondents' share, jointly and severally (50%)	=	\$4,000.00
Amount forgiven pursuant to the attached Order	=	\$1,000.00
Credit for hearing deposit	=	\$ 600.00
Balance due	=	\$2,400.00

Fees are payable to the National Association of Securities Dealers, Inc.

ARBITRATORS

Robert J. Ruben, Esq.

Philip W. Burge
Philip W. Burge

Jeffrey E. Skogsbergh

Dated: September 20, 1993

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NASD INC
ARBITRATOR

National Association of
Securities Dealers, Inc.

In the matter of the
arbitration between
Robert Tarrington and
Helen S. Tarrington,
claimants,

and

ORDER OF
DISMISSAL OF
ALL CLAIMS

Prudential-Bache Securities,
Inc., John Essele, and
Jerome Ira Baron,

respondents

The undersigned arbitrators, having
heard and been advised of the
agreement reached between the
parties to dismiss all claims
against each other, hereby ORDE

1 All claims by Robert Tarrington
and Helen S. Tarrington,
individually and collectively, against
Prudential-Bache Securities, Inc.

John Eisele, and/or Jerome Ira Baron, are hereby dismissed with prejudice.

2. All claims by Prudential-Bache Securities, Inc., John Eisele, and/or Jerome Ira Baron against Robert Tarrington and/o Helen Tarrington are hereby dismissed with prejudice.

3. Any forum fees currently owing by the parties shall be split equally between the claimants, collectively, and the respondents, collectively, but in no event shall the fees owed by respondents collectively exceed \$3,000.00 (three thousand dollars).

IT IS SO ORDERED.

Dated: 22 June 1993


Robert J. Ruben

Robert J. Ruben, Chairman

Phl. W. Buser

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

Robert Tarrington
Helen S. Tarrington

ORDER
CASE NO. 92-00868

Claimant(s)

vs.

Prudential-Bache Securities Inc.
John Eisele
Jerome Ira Baron

Respondent(s)

**ORDER OF PANEL DENYING MOTION TO SET ASIDE
ORDER OF DISMISSAL OF ALL CLAIMS**

During the hearing on June 22, 1993, the parties and counsel advised the undersigned panel that they had reached an agreement to dismiss all claims against each other. A copy of the Order of Dismissal signed by the panel on June 22, 1993 is attached and incorporated herein by reference.

On August 26, 1993, the panel met via telephonic conferencing to review and consider Claimants' correspondence dated June 24, 1993 and July 27, 1993 relative to Claimants' request to proceed with the hearing in this matter and Respondents' correspondence of July 14, 1993 and August 12, 1993, opposing Claimants' request.

The panel denies Claimants' request to reopen the Order of Dismissal of All Claims and proceed with the hearing in this matter.

ARBITRATORS

Robert J. Ruben, Esq.

Philip W. Burge
Philip W. Burge

Jeffrey E. Skogsbergh

Dated: September 20, 1993