

AMERICAN STOCK EXCHANGE
IN THE MATTER OF ARBITRATION BETWEEN

NAME: KENNETH GASPARD V. PRUDENTIAL-BACHE SECURITIES, INC., & GREGORY

DUNNAWAY V. MORRIS & ZOE GASPARD

Case Id # 92-02

DATE FILED: 9/11/90 FIRST SCHEDULED 1/15/92 DECIDED 1/15/92

CASE SUMMARY: * A Customer v. Member arbitration dispute. Claimant is
alleging unauthorized trading in his account.

CLAIMANT'S INITIALS _____ RESPONDENT'S _____ THIRD PARTY'S INITIALS _____

SESSIONS: January 15, 1992; 9:30 AM to 4:15 PM; Doubletree Hotel, N.O., La.

CLAIM AND AWARD DATA:

CLAIM	<u>\$6,617.50</u>	CC/3rd Party Costs & Expenses	AWARD	<u>Denied</u>
PUNITIVE	<u>\$100,000.00</u>	PUNITIVE	<u>N/A</u>	<u>N/A</u>
ATTY FEES	<u>N/A</u>	ATTY FEES	<u>Requested</u>	<u>Denied</u>
DEPOSIT	<u>\$200.00</u>	DEPOSIT	<u></u>	<u>\$200.00</u>
COSTS	<u></u>	COSTS	<u></u>	<u></u>

DECISION: The undersigned arbitrators have decided and determined in full and final settlement of all claims between the parties that:*

All Claims of the claimant, Kenneth Gaspard, are dismissed with prejudice.

The claim of the respondent, Prudential-Bache Securities, Inc., consisting of a cross-claim against Morris Gaspard and Zoe Gaspard and the counter-claim against the claimant and Morris Gaspard and Zoe Gaspard is dismissed with prejudice.

All claims for attorney fees were dismissed.

Each party to bear his own expenses.

ATTORNEY: KENNETH GASPARD - PRO-SE

PATRICIA FITZPATRICK, ESQ. - RESPONDENTS