

N.A.S.D. AWARD

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

Name of Claimants

David A. & Amelia L. Mancini. TTEES/James
David A. Mancini

92-02390

Name of Respondent

The Ohio Company

REPRESENTATION

For Claimants: David A. Mancini.

For Respondent: James A. Francis, Esq. of The Ohio Company.

CASE INFORMATION

Statement of Claim filed: July 17, 1992.

Claimant, James Mancini Trust's Submission Agreement signed on: July 29, 1992.

Claimant, David A. Mancini's Submission Agreement signed on: May 11, 1993.

Statement of Answer filed by Respondent, The Ohio Company on: September 24, 1992.

Respondent, The Ohio Company's Submission Agreement signed on: May 11, 1993.

HEARING INFORMATION

Hearing Date/Sessions: May 11, 1993/Two sessions

Hearing Location: American Arbitration Association offices located in Cincinnati, Ohio.

FORUM FEES

Pursuant to Section 43c of the Code of Arbitration Procedure, the following Forum Fees are assessed.

\$100 Non-refundable filing fee
\$800 Hearing session fees (2 sessions X \$400)

Total forum fees in the amount of \$900 are assessed against the parties in the following manner:

- 1) \$650 is hereby assessed against the Claimants. Claimants are entitled to offset this amount with the \$400 previously deposited with the NASD, Inc. Claimant is directed to pay the balance of \$250 to the NASD, Inc.
- 2) \$250 is hereby assessed against Respondent, The Ohio Company. The Ohio Company is directed to pay this sum to the NASD, Inc.

Concurring Arbitrator's Signature
Name

Public Chairperson



Andrew M. Temin, Esq.

Date of Decision: June 25, 1993

Award 92-02390

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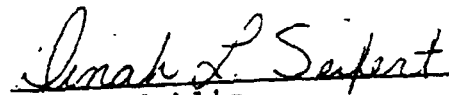
Concurring Arbitrator's Signature
Name

Public Arbitrator


Maurice O. White

Date of Decision: June 25, 1993

Sworn to before me and subscribed in my presence this
_____ day of _____, 1993.


Notary Public
State of Ohio



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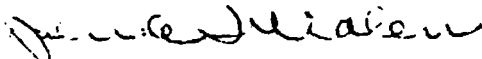
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Concurring Arbitrator's Signature
Name

Industry Arbitrator


John A. Whalen

Date of Decision: June 25, 1993