

**N.A.S.D. AWARD**

**NATIONAL ASSOCIATION OF SECURITIES DEALERS**

In the Matter of the Arbitration Between

**Name of Claimant(s)**

Patricia Pinell

NASD Arbitration  
No. 92-02582

**Name of Respondent(s)**

Ben Tidhar

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**REPRESENTATION**

For Claimant: Patricia Pinell, San Francisco, California

For Respondent: Janet T. Love, Esq., Dean Witter Reynolds, Inc., San Francisco, California

**CASE INFORMATION**

Statement of Claim filed: August 3, 1992

Claimant's Submission Agreement signed: July 23, 1992

Statement of Answer filed by Respondent: September 28, 1992

Respondent Ben Tidhar did not submit a Submission Agreement. However, he appeared at the hearing and is subject to National Association of Securities Dealers, Inc. (NASD) jurisdiction in accordance with Section 12 of the Code of Arbitration Procedure.

### **HEARING INFORMATION**

Pre-Hearing Conference: None

Hearing Date(s)/Sessions: April 7, 1993 (one session)

Hearing Location: San Francisco, California

### **CASE SUMMARY**

Claimant alleged unsuitability with respect to the purchase of Chevron SCORE (Special Claim on Residual Equity) stock.

Respondent denied Claimant's allegations of wrongdoing and asserted affirmative defenses.

### **RELIEF REQUESTED**

Claimant requested damages in the amount of \$5,000.00.

Respondent requested that Claimant's Statement of Claim be dismissed in its entirety.

### **OTHER ISSUES CONSIDERED & DECIDED**

None.

### **AWARD**

After considering the pleadings, the testimony and the evidence presented at the hearing, the undersigned arbitrator has decided in full and final resolution of the issues submitted for determination as follows:

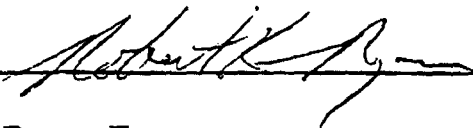
1. All claims by Claimant are dismissed.
2. The parties shall each bear their respective costs including attorney's fees.

### **FORUM FEES**

Pursuant to Section 43c of the Code of Arbitration Procedure, the following forum fees are assessed: The NASD shall retain the \$100.00 hearing session deposit previously deposited by the Claimant.

**ARBITRATOR**

Name

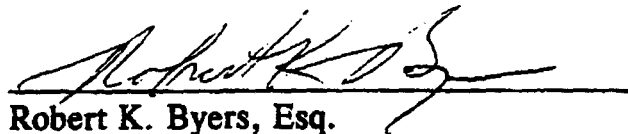


Public/Industry

Robert K. Byers, Esq.

Public Arbitrator

**Concurring Arbitrator's Signature**



Robert K. Byers, Esq.

Date of Decision:

April 8, 1993