

AMERICAN STOCK EXCHANGE
IN THE MATTER OF ARBITRATION BETWEEN

CASE: Louis C. Anderson v. Dean Witter Reynolds, Inc. and Richard Dimare

Case Id # 92-06

DATE FILED: 6/12/91 FIRST SCHEDULED 3/19/92 DECIDED 3/15/92

CASE SUMMARY: * A customer v. member margin call dispute involving Jonas
Intercable Investors LP Class A Units.

CLAIMANT'S INITIALS ✓ RESPONDENT'S ✓ THIRD PARTY'S INITIALS _____

SESSIONS: TWO

CLAIM AND AWARD DATA:

CLAIM	<u>\$32,009.00</u>	Counterclaim against Claimant	<u>\$\$\$xxx\$xxx</u>	AWARD	<u>\$13,008.30</u>
PUNITIVE	<u>N/A</u>	PUNITIVE	<u>Requested</u>	PUNITIVE	<u>Denied</u>
ATTY FEES	<u>N/A</u>	ATTY FEES	<u>Requested</u>	ATTY FEES	<u>Denied</u>
DEPOSIT	<u>\$400.00</u>	DEPOSIT	<u>Required</u>	DEPOSIT	_____
COSTS	_____	COSTS	<u>\$400.00</u>	COSTS	<u>\$800.00</u>

DECISION: The undersigned arbitrators have decided and determined in full and final settlement of all claims between the parties that:*

THAT Claimant is awarded the sum of \$8,672.20 from Dean Witter Reynolds and the sum of \$4,336.10 from Respondent Richard DiMare plus interest at 4% from the date of receipt of the award;

THAT the Respondent's counterclaim is denied;

THAT the cost of the arbitration, \$800, shall be borne by Dean Witter Reynolds, Inc. who will remit \$400 directly to the Claimant and \$400 directly to the American Stock Exchange.

ATTORNEY: Louis C. Anderson - Pro Se

Dean Witter Reynolds, Inc. and Dimare - Wendy R. Robinson, Esq.

Dean Witter Reynolds, Inc.

ARBITRATORS **

San Francisco, CA 94111

Neill E. Hannon, Esq. Chairman

Douglas E. DeTata

Stuart A. Bronstein, Esq.

Neill E. Hannon
SIGNATURE

Douglas E. DeTata
SIGNATURE

Stuart A. Bronstein
SIGNATURE

CITY San Francisco STATE California

DATE: May 8, 1992

* Additional pages may be attached.

** (Dissents)