

AMERICAN STOCK EXCHANGE
IN THE MATTER OF ARBITRATION BETWEEN

CASE: LAWRENCE A. MOOR & PRUDENTIAL-BACHE, INC., V. JAMES HAFFNER

Case Id # 92-09

DATE FILED: 10/10/90 FIRST SCHEDULED 4/21/92 DECIDED 4/21/92

CASE SUMMARY: * Member v. Member arbitration. Dispute over a transaction
regarding Intel Corp. options effected on the Floor of the
Exchange.

CLAIMANT'S INITIALS JS RESPONDENT'S JH THIRD PARTY'S INITIALS _____
SESSIONS: 1&2

CLAIM AND AWARD DATA:

CLAIM	<u>\$13,750.21</u>	CC/3rd PTY	<u>N/A</u>	AWARD	<u>Denied</u>
PUNITIVE	<u>N/A</u>	PUNITIVE	<u>N/A</u>	PUNITIVE	<u>N/A</u>
ATTY FEES	<u>Requested</u>	ATTY FEES	<u>Requested</u>	ATTY FEES	<u>Denied</u>
DEPOSIT	<u>\$400.00</u>	DEPOSIT	_____	DEPOSIT	_____
COSTS	_____	COSTS	<u>\$400.00</u>	COSTS	<u>\$800.00</u>

DECISION: The undersigned arbitrators have decided and determined in full and final settlement of all claims between the parties that:*

The Claimants' claim is denied.

The costs of the arbitration, \$800, shall be paid by the Respondent
Prudential-Bache Securities, who should remit \$400 directly to James
Haffner and \$400 directly to the American Stock Exchange.

All requests for attorney fees are denied.

ATTORNEY: Edward Samson, Esq. - Prudential-Bache Securities - Claimant - NY NY
Bernard Kobroff, Esq. - S.W. Azriliant, P.C. - Respondent - NY NY

ARBITRATORS **

DAVID DEMURO, ESQ., CHAIRMAN

ROSARIO INGALLINERA

JAMES NOONE

CITY NEW YORK STATE NEW YORK DATE: April 23, 1992

* Additional pages may be attached.

** (Dissents)

David Demuro
SIGNATURE
Rosario Ingallinera
SIGNATURE
James Noone
SIGNATURE