

AMERICAN STOCK EXCHANGE  
IN THE MATTER OF ARBITRATION BETWEEN

C E: MUMTAZ HUSSAIN V. SHEARSON LEHMAN HUTTON, INC., & WAYNE HILL

# 92-16

DATE FILED: 10/17/91 FIRST SCHEDULED 6/24/92 DECIDED 6/24/92

CASE SUMMARY: \*A customer v. member arbitration involving ASTA Research  
Incorporated, Gap (GPS), Apple Computer, and Yellow Freight  
Stocks.

CLAIMANT'S INITIALS mh RESPONDENT'S W THIRD PARTY'S INITIALS \_\_\_\_\_  
SESSIONS: 1

CLAIM AND AWARD DATA:

CLAIM	\$15,908.66	CC/3rd PTY	N/A	AWARD	\$6,847.00
PUNITIVE	N/A	PUNITIVE	N/A	PUNITIVE	N/A
ATTY FEES	N/A	ATTY FEES	Requested	ATTY FEES	Denied
DEPOSIT	\$500.00	DEPOSIT	N/A	DEPOSIT	\$500
COSTS		COSTS		COSTS	

DECISION: The undersigned arbitrators have decided and determined in full and final settlement of all claims between the parties that:\*

THAT Claimant is awarded the sum of \$6,847.00 with interest at 4% from the date of award

THAT Respondent's request for attorney fees is denied:

THAT the cost of the arbitration, \$500, shall be shared equally between the parties. Respondent Shearson Lehman shall remit the sum of \$250 directly to the American Stock Exchange and \$250 directly to Claimant as a reimbursement of his initial filing deposit. Claimant Hussain has already deposited the sum of \$250 as part of his initial filing deposit.

ATTORNEY: Mumtaz Hussain - Claimant - Pro-Se Fort Collins, Colorado  
John S. Lutz, Esq. - Respondent's Attorney Kelly, Stansfield, et al  
Denver, Colorado

ARBITRATORS \*\*  
ROBERT L. DRUVA, CHAIRMAN

ALBERT S. GILLES, ESQ.

JOHN L. WORCESTER

CITY DENVER STATE COLORADO

DATE: 6/24/92

\* Additional pages may be attached.  
\*\* (Dissents)

Robert L. Druva  
SIGNATURE

Albert S. Gilles  
SIGNATURE

John L. Worcester  
SIGNATURE