

AMERICAN STOCK EXCHANGE
IN THE MATTER OF ARBITRATION BETWEEN

CASE: Most Wanted International Corp. v. PaineWebber Incorporated

Case Id # 93-01

DATE FILED: 11/6/92 FIRST SCHEDULED 1/26/93 DECIDED 2/8/93

CASE SUMMARY: * A customer v. member small claims arbitration dispute involving Putnam US Government Fund. Claimant has alleged that member firm respondent's Investment Executive executed an unsuitable and unauthorized investment and that the sales charge was misrepresented during the time of the purchase.

CLAIMANT'S INITIALS JA RESPONDENT'S JD THIRD PARTY'S INITIALS _____
SESSIONS: 1

CLAIM AND AWARD DATA:

CLAIM	<u>\$7,130.00 + int.</u>	CC/3rd PTY	<u>N/A</u>	AWARD	<u>Denied</u>
PUNITIVE	<u>N/A</u>	PUNITIVE	<u>N/A</u>	PUNITIVE	<u>N/A</u>
ATTY FEES	<u>N/A</u>	ATTY FEES	<u>N/A</u>	ATTY FEES	<u>N/A</u>
DEPOSIT	<u>\$150</u>	DEPOSIT	<u>N/A</u>	DEPOSIT	<u>N/A</u>
COSTS	<u>\$200</u>	COSTS	<u>N/A</u>	COSTS	<u>\$350</u>

DECISION: The undersigned arbitrators have decided and determined in full and final settlement of all claims between the parties that:*

THAT the Claimant's claim is denied;

THAT the cost of the pre-hearing conference, \$200, is assessed against the Claimant, who shall remit a check for \$200 directly to the American Stock Exchange;

THAT the arbitration cost of \$150 shall be borne by the Claimant who has already deposited said sum as his initial filing fee.

ATTORNEY: Most Wanted International Corp. - Claimant - Pro-Se, Long Branch, NJ
PaineWebber Incorporated - James DeNorsia, Esq., Respondent -
Weehawken, NJ

ARBITRATORS **

Diane Ciccone, Esq., Sole Arbitrator


SIGNATURE

SIGNATURE

SIGNATURE

CITY New York STATE New York DATE: February 19, 1993

* Additional pages may be attached.
** (Assents)