

AMERICAN STOCK EXCHANGE
IN THE MATTER OF ARBITRATION BETWEEN

CASE: FIRST ALBANY CORPORATION V. DR. DAVID BLISTEIN

Case Id # 93-10

DATE FILED: 12/28/92 FIRST SCHEDULED 6/24/93 DECIDED 6/24/93

CASE SUMMARY: NA member v. customer small claims arbitration dispute involving
DB AFD 9 American Telephone & Telegraph July 40 calls options and Amgen Inc.
June 45 July 50 call options. Claimant is alleging customer owes an
outstanding debit balance.

CLAIMANT'S INITIALS AFD RESPONDENT'S DB THIRD PARTY'S INITIALS _____
SESSIONS: 1

CLAIM AND AWARD DATA:

CLAIM	<u>\$3,378.96</u>	CC/3rd PTY	<u>N/A</u>	AWARD	<u>\$3,378.96</u>
PUNITIVE	<u>N/A</u>	PUNITIVE	<u>N/A</u>	PUNITIVE	<u>N/A</u>
ATTY FEES	<u>N/A</u>	ATTY FEES	<u>N/A</u>	ATTY FEES	<u>N/A</u>
DEPOSIT	<u>\$800.00</u>	DEPOSIT	<u>N/A</u>	DEPOSIT	_____
COSTS	_____	COSTS	_____	COSTS	<u>\$800.00</u>

DECISION: The undersigned arbitrators have decided and determined in full and final settlement of all claims between the parties that:*

THAT Claimant First Albany Corporation is awarded the sum of \$3,378.96
against Dr. David Blistein;

THAT the cost of the arbitration, \$800, shall be paid by Claimant First Albany Corporation who has already remitted said sum as its initial filing deposit.

ATTORNEY: First Albany Corporation - Claimant - Represented by Arthur Dicker, 1
Albany, New York
Dr. David Blistein - Respondent - Pro-Se - Wappingers Falls, New York

ARBITRATORS **

BARRY APPEL - SOLE ARBITRATOR


SIGNATURE

SIGNATURE

SIGNATURE

CITY New York STATE New York DATE: July 7, 1993

* Additional pages may be attached.
** (Dissents)