

AMERICAN STOCK EXCHANGE
IN THE MATTER OF ARBITRATION BETWEEN

SE: BILL MICHAEL V. SHEARSON LEHMAN BROTHERS & KEN DORSETT

Case Id #93-11

DATE FILED: 2/4/93 FIRST SCHEDULED 6/29/93 DECIDED 7/7/93

CASE SUMMARY:*

CLAIMANT'S INITIALS _____ RESPONDENT'S _____ THIRD PARTY'S INITIALS _____

SESSIONS: DECISION BASED ON PLEADINGS

CLAIM AND AWARD DATA:

CLAIM	<u>\$5,500.00</u>	CC/3rd PTY	<u>N/A</u>	AWARD	<u>\$1,960.00+intere</u>
PUNITIVE	<u>N/A</u>	PUNITIVE	<u>N/A</u>	PUNITIVE	<u>N/A</u>
ATTY FEES	<u>N/A</u>	ATTY FEES	<u>N/A</u>	ATTY FEES	<u>N/A</u>
DEPOSIT	<u>\$150.00</u>	DEPOSIT	<u>N/A</u>	DEPOSIT	<u></u>
COSTS	<u></u>	COSTS	<u></u>	COSTS	<u>\$150.00</u>

DECISION: The undersigned arbitrators have decided and determined in full and final settlement of all claims between the parties that:*

THAT Claimant is awarded the sum of \$1,960 including interest;

THAT claim against Respondent Ken Dorsett is dismissed;

THAT the cost of the arbitration proceeding, \$150.00 shall be borne by

Claimant who has already submitted said sum as his initial filing deposit.

ATTORNEY: Bill Michael - Claimant - Pro-Se

Shearson Lehman Brothers, Inc., - Respondent - James S. Goddard, Esq

Representing Both Respondents - New York, NY.

ARBITRATORS **

PROFESSOR ARNOLD GOREN, SOLE ARBITRATOR

Arnold L. Goren
SIGNATURE

SIGNATURE

SIGNATURE

CITY NEW YORK STATE NEW YORK DATE: AUGUST 10, 1993

* Additional pages may be attached.
** (Dissents)