

AMERICAN STOCK EXCHANGE  
IN THE MATTER OF ARBITRATION BETWEEN

CASE: LILLIAN SEIDMAN V. O'CONNOR & ASSOCIATES, INC.

Case Id # 93-13

DATE FILED: 11/8/90 FIRST SCHEDULED 10/8/91 DECIDED Sept. 9, 1991

CASE SUMMARY: \* A member v. member employer/employee dispute.

CLAIMANT'S INITIALS LS RESPONDENT'S OC THIRD PARTY'S INITIALS

SESSIONS: 1 - 20

CLAIM AND AWARD DATA:

CLAIM	<u>\$50,000.00</u>	CC/3rd PTY	<u>N/A</u>	AWARD	<u>\$576.92 w/int.</u>
PUNITIVE	<u>N/A</u>	PUNITIVE	<u>N/A</u>	PUNITIVE	<u>N/A</u>
ATTY FEES	<u>N/A</u>	ATTY FEES	<u>N/A</u>	ATTY FEES	<u>N/A</u>
DEPOSIT	<u>\$400.00</u>	DEPOSIT	<u>N/A</u>	DEPOSIT	
COSTS	<u>\$ 7,600</u>	COSTS		COSTS	<u>\$8,000</u>

DECISION: The undersigned arbitrators have decided and determined in full and final settlement of all claims between the parties that:\*

THAT Claimant is awarded the sum of \$576.92 from the Respondent with interest at the rate of 3% from October 16, 1990 until payment of the award;

THAT the costs of the arbitration, \$8,000 shall be paid by the Claimant.

Claimant has already submitted \$400 as her initial filing deposit and the balance of \$7,600 shall be remitted directly to the American Stock Exchange.

REMARKS: \* Lawrence M. Honig, Esq. - Claimant's Counsel - Private Practice  
New York, NY.

Catherine Ludden, Esq. - Respondent's Counsel - Morgan, Lewis &  
Bockius, New York, NY.

ARBITRATORS ARNOLD WEINBERG, ESQ., CHAIRMAN

DOUGLAS TERRUSO

ANTHONY BARBIERI

CITY NEW YORK STATE NEW YORK DATE: September 9, 1993

\* Additional pages may be attached.  
\*\* (Dissents)

Arnold J. Weinberg  
SIGNATURE  
Douglas J. Terruso  
SIGNATURE  
Anthony J. Barbieri  
SIGNATURE