

AMERICAN STOCK EXCHANGE CLAIMANT'S NAME
IN THE MATTER OF ARBITRATION BETWEEN HER REQUEST.

CLAIMANT V. TUCKER ANTHONY & R. L. DAY, INC.

Case Id # 94-03

DATE FILED: 5/29/92 FIRST SCHEDULED 12/15 & 16, 1992 DECIDED 5/6/94

CASE SUMMARY: * Employee/Employer dispute involving age, sexual harassment/
sex discrimination. Claimant further alleges wrongful discharge and breach
of written employment contract *and emotional distress*

CLAIMANT'S INITIALS *LP* RESPONDENT'S *WJW* THIRD PARTY'S INITIALS

SESSIONS: 1 - 28

CLAIM AND AWARD DATA:

| | | | | | |
|-----------|---------------|------------|--------------------|-----------|-------------|
| CLAIM | \$1,000,000 + | CC/3rd PTY | | AWARD | Denied |
| PUNITIVE | requested | PUNITIVE | N/A | PUNITIVE | Denied |
| ATTY FEES | requested | ATTY FEES | requested by Resp. | ATTY FEES | Denied |
| DEPOSIT | 1,500 | DEPOSIT | | DEPOSIT | |
| COSTS | \$12,750.00 | COSTS | \$14,250.00 | COSTS | \$28,500.00 |

DECISION: The undersigned arbitrators have decided and determined in full and final settlement of all claims between the parties that:*

All of Claimant's claims are denied;

Tucker Anthony's counterclaim against CLAIMANT is denied;

All requests by both parties for attorney fees are denied;

CLAIMANT request for punitive damages is denied;

The arbitration costs of \$28,500 shall be shared equally by the parties. Claimant has already remitted \$1,500 as her initial filing deposit and shall remit the balance of \$12,750 directly to American Stock Exchange. Respondent shall remit the sum of \$14,250 directly to the American Stock Exchange.

ATTORNEY: Laura Snell Esq., Claimant's Counsel - New York, NY
Stephen P. Horner, Esq., Claimant's counsel - Westport, CT
William Wenzel, Esq., Respondent's counsel - Bridgeport, CT