

AMERICAN STOCK EXCHANGE
IN THE MATTER OF ARBITRATION BETWEEN

C :: THOMAS ANDREW O'KEEFE V. SHEARSON LEHMAN BROTHERS, INC.

Case Id # 98-04

DATE FILED: 2/10/94 FIRST SCHEDULED 5/12/94 DECIDED 5/12/94
CASE SUMMARY: * Customer v. Member dispute involving the purchase of Americus
Trust for Amoco Shares Score Components. Claimant alleges
misrepresentation, negligence & failure to comply with customer's
investment objectives.

CLAIMANT'S INITIALS TAOK RESPONDENT'S RP THIRD PARTY'S INITIALS _____
SESSIONS: 1

CLAIM AND AWARD DATA:

CLAIM \$3,490.56	CC/3rd PTY N/A	AWARD Denied
PUNITIVE N/A	PUNITIVE N/A	PUNITIVE N/A
ATTY FEES N/A	ATTY FEES N/A	ATTY FEES N/A
DEPOSIT \$150.00	DEPOSIT	DEPOSIT
COSTS	COSTS	COSTS \$150.00

DECISION: The undersigned arbitrators have decided and determined in full and final settlement of all claims between the parties that:*

THE Claimant's claim is denied;

THE cost of the arbitration of \$150.00 shall be borne by respondent who shall remit the sum of \$150 directly to claimant as reimbursement of his initial filing deposit.

ATTORNEY: Thomas A. O'Keefe, Esq. - Claimant - Representing Self - Peekskill, NY
Ann Parry, Esq. - Respondent's Counsel - Smith Barney Shearson
New York, NY.

ARBITRATORS **

DIANE CICCONI, ESQ., SOLE ARBITRATOR

Diane Cicconi
SIGNATURE

SIGNATURE

SIGNATURE

CITY NEW YORK STATE NEW YORK DATE: MAY 24, 1994

* Additional pages may be attached.
** (Dissents)