

AMERICAN STOCK EXCHANGE
IN THE MATTER OF ARBITRATION BETWEEN

E: MILTON SIEGEL V. PAINWEBBER & ALEXANDER QUINT

Case # 94-12

DATE FILED: 6/16/94 FIRST SCHEDULED 8/30/94 DECIDED 10/3/94

CASE SUMMARY: * Customer v. member claim. Claimant alleges that he never
received 100 shares of U.S. Steel that he paid for. Respondent
argues that the firm, at the Claimant's request, liquidated the
purchase and credited Claimant's account.

CLAIMANT'S INITIALS _____ RESPONDENT'S _____ THIRD PARTY'S INITIALS _____
SESSIONS: Decision Based On The Pleadings.

CLAIM AND AWARD DATA:

CLAIM	<u>\$2,100.00</u>	CC/3rd PTY	<u>N/A</u>	AWARD	<u>Denied</u>
PUNITIVE	<u>N/A</u>	PUNITIVE	<u>N/A</u>	PUNITIVE	<u>N/A</u>
ATTY FEES	<u>N/A</u>	ATTY FEES	<u>N/A</u>	ATTY FEES	<u>N/A</u>
DEPOSIT	<u>\$50.00</u>	DEPOSIT	<u>N/A</u>	DEPOSIT	<u>N/A</u>
COSTS	<u>N/A</u>	COSTS	<u>N/A</u>	COSTS	<u>N/A</u>

DECISION: The undersigned arbitrators have decided and determined in full and final settlement of all claims between the parties that:*

THE Claimant's claim is denied;

THAT all claims against Alexander Quint are dismissed;

THAT the cost of the arbitration, \$50.00 is to be assessed to the Claimant.

ATTORNEY: MILTON SIEGEL, - CLAIMANT - PRO-SE - BAYSIDE, NEW YORK.

JOSEPH GENERELLI, ESQ., - REPRESENTING RESPONDENTS - PAINWEBBER.

NEW YORK, NY.

ARBITRATORS **

LYNN HANIG, ESQ., SOLE ARBITRATOR

Lynn Hanig
SIGNATURE

SIGNATURE

SIGNATURE

CITY NEW YORK STATE NEW YORK

DATE: 10/1/94

* Additional pages may be attached.
** (Dissents)