

PHILADELPHIA STOCK EXCHANGE, INC.

In the Matter of Arbitration Between :
:
Robb, Peck, McCooey, :
 Claimant : Decision
 v. : MM File #95-02
E. Leslee Berger, :
 Respondent :
:

Claimant filed a Statement of Claim ("Claim") against Respondent on February 9, 1994 alleging Ms. Berger's failure to pay commission bills in excess of \$6,000.00. In lieu of filing an Answer, Respondent entered into a Settlement Agreement with Claimant on March 15, 1994. Ms. Berger agreed to remit to Respondent all brokerage fees owed, no later than October 1994. Respondent breached the terms and conditions of the March 1994 Settlement Agreement by failing to remit monies owed to Claimant.

As provided for in the Settlement Agreement, Claimant has reasserted its Claim against Respondent.

After having reviewed the proofs submitted, it is hereby decided and determined in favor of Claimant and against Respondent in the amount of \$9,385.24. Respondent is immediately to remit \$9,385.24 in the form of a lump sum payment to Claimant.

Respectfully Submitted,

Dated: June 29, 1995

Doris Elwell
Panel Chairperson

* This is to certify that the above is the true, accurate and unanimous Decision of the panel.

Doris Elwell

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