

**N.A.S.D. AWARD**

**NATIONAL ASSOCIATION OF SECURITIES DEALERS, INC.**

In the matter of the Arbitration Between

Name of Claimant(s)

Scott R. Trimingham and Donna I. Trimingham

NASD Arbitration  
No. 95-3776

Name of Respondent(s)

IDS Financial Services, Inc., American Express Financial Advisors, and Edward T. Kelly

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**REPRESENTATION**

For Claimant: Scott R. Trimingham, Pro Se  
Redondo Beach, California

For Respondent: Samuel Y. Edgerton  
Einhorn & Edgerton  
Hermosa Beach, California

**CASE INFORMATION**

Statement of Claim filed: August 3, 1995

Claimant's Submission Agreement signed: July 31, 1995

Joint Statement of Answer filed by Respondent: October 2, 1995

Respondent Edward T. Kelly's Submission Agreement signed: September 5, 1995

Respondent American Express Financial Advisors, Inc.'s (formerly named IDS Financial Services, Inc.) Submission Agreement signed: August 24, 1995

## ARBITRATORS

Name	Public / Industry
Robert J. Ruben, Esq.	Public Arbitrator
David J. Anderson	Public Arbitrator
Charles W. Christiansen	Industry Arbitrator

### Concurring Arbitrators' Signatures

Robert J. Ruben, Esq.



David J. Anderson

Charles W. Christiansen

Date of Decision: \_\_\_\_\_

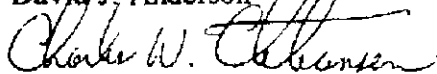
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David J. Anderson	Public Arbitrator
Charles W. Christiansen	Industry Arbitrator

**Concurring Arbitrators' Signatures**

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Robert J. Ruben, Esq.

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David J. Anderson



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Charles W. Christiansen

Date of Decision: \_\_\_\_\_