

AMERICAN STOCK EXCHANGE
IN THE MATTER OF ARBITRATION BETWEEN

CASE: HOWARD & HELEN STAPLES V. PRUDENTIAL SECURITIES, INC.

Case Id # 95-05

DATE FILED: 1/9/95 FIRST SCHEDULED 5/9/95 DECIDED 6/21/95

CASE SUMMARY: * Customer v. member arbitration. Claimant alleges
unsuitability and misrepresentation in the sale of
limited partnership Polaris Aircraft Income Fund IV.

CLAIMANT'S INITIALS _____ RESPONDENT'S _____ THIRD PARTY'S INITIALS _____
SESSIONS: DECISION BASED ON THE PLEADINGS.

CLAIM AND AWARD DATA:

| | | | | | |
|-----------|--------------------|------------|------------------|-----------|-------------------|
| CLAIM | <u>\$10,000.00</u> | CC/3rd PTY | <u>N/A</u> | AWARD | <u>\$3,079.00</u> |
| PUNITIVE | <u>Requested</u> | PUNITIVE | <u>N/A</u> | PUNITIVE | <u>Denied</u> |
| ATTY FEES | <u>N/A</u> | ATTY FEES | <u>Requested</u> | ATTY FEES | _____ |
| DEPOSIT | <u>\$75.00</u> | DEPOSIT | <u>N/A</u> | DEPOSIT | <u>\$75.00</u> |
| COSTS | _____ | COSTS | _____ | COSTS | _____ |

DECISION: The undersigned arbitrators have decided and determined in full and final settlement of all claims between the parties that:*

THE Claimants are awarded the sum of \$3,079.00 against Respondent Prudential Securities, Inc.;

THE Claimants request for punitive damages are denied;

THE costs of the arbitration, \$75.00, shall be paid by the Respondent Prudential Securities, who shall reimburse Claimants said sum.

ATTORNEY: Thomas Hoadley, Esq., - Hoadley & Noska - Representing Claimants -
West Palm Beach, Florida.

Brian Elias, Esq. - Fowler, White, et al. - Representing Respondent -
Miami, Florida.

ARBITRATORS **

NDI E. LOWITT, ESQ., SOLE ARBITRATOR


SIGNATURE

SIGNATURE

SIGNATURE

CITY NEW YORK STATE NEW YORK

DATE: 6/21/95

* Additional pages may be attached.
** (Dissents)