

AMERICAN STOCK EXCHANGE  
IN THE MATTER OF ARBITRATION BETWEEN

SE: GORDON R. & STELLA UNWIN V. PRUDENTIAL SECURITIES, INC.

Case Id # 95-07

DATE FILED: 2/8/95 FIRST SCHEDULED 5/9/95 DECIDED 6/21/95

CASE SUMMARY: \* Customer v. member arbitration. Claimant alleges  
unsuitability and misrepresentation in the sale of  
limited partnership Polaris Aircraft Income Fund IV.

CLAIMANT'S INITIALS \_\_\_\_\_ RESPONDENT'S \_\_\_\_\_ THIRD PARTY'S INITIALS \_\_\_\_\_

SESSIONS: DECISION BASED ON THE PLEADINGS.

CLAIM AND AWARD DATA:

CLAIM	<u>\$5,000.00</u>	CC/3rd PTY	<u>N/A</u>	AWARD	<u>\$1,707.00</u>
PUNITIVE	<u>Requested</u>	PUNITIVE	<u>N/A</u>	PUNITIVE	<u>Denied</u>
ATTY FEES	<u>N/A</u>	ATTY FEES	<u>N/A</u>	ATTY FEES	<u>N/A</u>
DEPOSIT	<u>\$75.00</u>	DEPOSIT	<u>N/A</u>	DEPOSIT	<u>\$75.00</u>
COSTS	_____	COSTS	_____	COSTS	_____

DECISION: The undersigned arbitrators have decided and determined in full and final settlement of all claims between the parties that:\*

THE Claimants are awarded the sum of \$1,707.00 against Respondent Prudential Securities, Inc.;

THE Claimants request for punitive damages are denied;

THE costs of the arbitration, \$75.00, shall be paid by the Respondent Prudential Securities who shall reimburse Claimants said sum.

ATTORNEY: Thomas Hoadley, Esq. - Hoadley & Noska - Representing Claimants -  
West Palm Beach, Florida.

Charles B. LaChaussee, Esq. - Prudential Securities, Inc.,  
Representing Respondents - New York, NY.

ARBITRATORS \*\*

RANDI E. LOWITT, ESQ., SOLE ARBITRATOR

  
SIGNATURE

\_\_\_\_\_  
SIGNATURE

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SIGNATURE

CITY NEW YORK STATE NEW YORK DATE: 6/21/95

\* Additional pages may be attached.  
\*\* (Dissents)