

AMERICAN STOCK EXCHANGE
IN THE MATTER OF ARBITRATION BETWEEN

BEAR, STEARNS & CO., INC., V. THOMAS MAOLI

SE:

Case Id # 95-13

DATE FILED: 4/21/93 FIRST SCHEDULED 9/21/93 DECIDED 9/11/95

CASE SUMMARY: * Member v. Customer claim. Claimant is suing for a debit balance in the customer's account. The Respondent has a counter-claim for defamation of character and unauthorized trades.

CLAIMANT'S INITIALS JS RESPONDENT'S TM THIRD PARTY'S INITIALS
SESSIONS: 1-2

CLAIM AND AWARD DATA:

CLAIM	\$16,606.77	CC/3rd PTY	\$30,000.00	AWARD	\$20,917.89
PUNITIVE	N/A	PUNITIVE	N/A	PUNITIVE	N/A
ATTY FEES	N/A	ATTY FEES	Requested	ATTY FEES	Denied
DEPOSIT	\$500.00	DEPOSIT	\$100.00	DEPOSIT	
COSTS		COSTS	\$900.00	COSTS	\$1,000.00

DECISION: The undersigned arbitrators have decided and determined in full and final settlement of all claims between the parties that:*

THE Claimant is awarded the sum of \$16,606.77 plus interest from March 9, 1993 through September 30, 1995 in the amount of \$4,311.12;

THE Respondent Thomas Maoli's counterclaim is denied;

All other claims are hereby denied;

THE cost of the arbitration, \$1,000, shall be borne by the Respondent Thomas Maoli. Respondent Maoli shall reimburse directly to Claimant its initial hearing session fee of \$400. Respondent Maoli has already remitted \$100 as a counterclaim fee, therefore, the balance in the amount of \$500 should be remitted directly to the Exchange.

ATTORNEY: Todd Cook, Esq. - Representing Claimant - Bear, Stearns & Co., Inc., N.Y. N.Y.
Thomas Maoli - Respondent - Pro-Se.

ARBITRATORS **

CHRISTOPHER O'BRIEN, ESQ., CHAIRMAN

ALAN STREUSAND

THEODORE KIMMELMAN

CITY NEW YORK STATE NEW YORK DATE: SEPTEMBER 30, 1995

Chad E. O'Brien
SIGNATURE

Alan Streusand
SIGNATURE

Theodore Kimmelman
SIGNATURE

* Additional pages may be attached.
** (Dissents)