

## **AWARD**

### **NASD REGULATION, INC., OFFICE OF DISPUTE RESOLUTION**

In the matter of the Arbitration Between

**Name of Claimant**

Robert M. Paulson

v.

Arbitration No.  
96-02487

**Name of Respondents**

Christian Kuretski, Anthony Marchiano, Stuart Winkler,  
Steven Markowitz, and A.S. Goldmen & Co., Inc.

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### **REPRESENTATION**

For Claimant Robert M. Paulson:

In Pro Per

For Respondent Christian Kuretski:

In Pro Per

### **CASE INFORMATION**

Statement of Claim filed:

June 11, 1996

Claimant's Submission Agreement signed:

June 7, 1996

Statement of Answer filed by Respondents  
Kuretski, Marchiano, Winkler,  
and A.S. Goldmen & Co.:

August 9, 1996

### **HEARING INFORMATION**

Hearing Dates / Sessions:

September 15, 1997 (1 Session)

Hearing Location:

Los Angeles, California

### **CASE SUMMARY**

Claimant alleged that Respondents misled him with regard to shares of Skyland Sports Management, Inc. stock and Veritas Music Entertainment Inc. warrants. Claimant also alleged that Respondents failed to follow his instructions and failed to provide him with information and a prospectus on Skyland Sports Management, Inc. Claimant further alleged that Respondents failed to maintain contact with him regarding the status of his investments.

Respondents denied the allegations of wrongdoing found in Claimant's Statement of Claim.

### **RELIEF REQUESTED**

Claimant requested \$24,857.50 in compensatory damages plus interest.

Respondents requested that Claimant's claims be denied in their entirety. Respondents further requested costs and attorney's fees.

### **OTHER ISSUES CONSIDERED AND DECIDED**

The parties have agreed that the Award in this matter may be executed either in counterpart copies or that a handwritten, signed Award may be entered. In either case, the parties have agreed to receive conformed copies of the Award while the original remains on file with the NASD Regulation, Inc., Office of Dispute Resolution.

Claimant, in his letter of May 6, 1997, dismissed his claims against Steven Markowitz, Anthony Marchiano, Stuart Winkler, and A.S. Goldman & Co., Inc. with prejudice.

### **AWARD**

After considering the pleadings, the testimony, and the evidence presented at the hearing, the undersigned arbitrators have decided in full and final resolution of the issues submitted for determination as follows:

1. All of the claimant's claims are denied in their entirety.
2. The parties shall each bear their respective costs, including attorney's fees.

### **FORUM FEES**

Pursuant to Section 10332(c) of the Code of Arbitration Procedure, the following forum fees are assessed:

One Hearing Session @ \$400.00/Session	=	\$400.00
<u>Total Fees Assessed</u>	=	<u>\$400.00</u>

Credit for Hearing Session Deposit	=	(\$400.00)
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<u>Claimant's Total Balance Due</u>	=	<u>\$0.00</u>
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Fees are payable to NASD Regulation, Inc.

## ARBITRATORS

<u>Name.....</u>	<u>Public / Industry</u>
George M. Wiener, Esq.	Public Arbitrator
Harold A. Haytin	Public Arbitrator
Gwendolyn J. Bandt	Industry Arbitrator

### Concurring Arbitrators' Signatures

\_\_\_\_\_  
George M. Wiener, Esq.

\_\_\_\_\_  
Harold A. Haytin

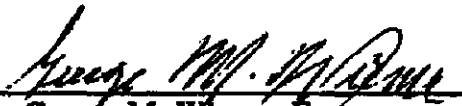
\_\_\_\_\_  
Gwendolyn J. Bandt

Date of Service: \_\_\_\_\_

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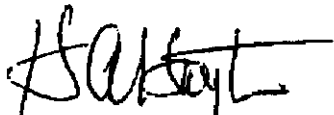
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NASD-Arbitration Mediation

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Harold A. Haytin	Public Arbitrator
Gwendolyn J. Bandt	Industry Arbitrator

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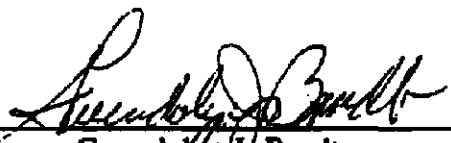
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Date of Service: SEPTEMBER 29, 1997