

**N.A.S.D. REGULATION AWARD**  
**NATIONAL ASSOCIATION OF SECURITIES DEALERS REGULATION, INC.**

CASE: 96-03618

Virginia O. Brinkman, claimant v. Don Dover, respondent.

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**ATTORNEYS:**

For Claimant

Claimant appeared Pro Se. - Saint Petersburg, FL.

For Respondent

Julie Reed, Esq. - Detroit, MI.

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DATE FILED: 8/21/96

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CASE SUMMARY: Claimant alleged misrepresentation in the sale of stock and dividend payout and the amount of commission charged.

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**Claim Data**

Claim: \$3,210.00

Punitive: \$2,800

Atty Fees: \$.00

Filing Fees: \$.00

Other: \$.00

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**Award Data**

Award: \$.00

Punitive: \$.00

Atty Fees: \$.00

Filing Fees: \$.00

Other: \$.00

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AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of claimant are dismissed in their entirety. 2) All requests for punitive damages are denied. 3) All other requests for relief are denied. 3) The \$150.00 filing fee previously deposited with the National Association of Securities Dealers Regulation, Inc. shall be retained by NASD Regulation, Inc.


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OTHER ISSUES: Based on the merits of this case, the claim of claimant Virginia O. Brinkman against respondent Don Dover shall be expunged from Don Dover's permanent CRD record by NASD Regulation, Inc.

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AFFIRMATION

I, Seth L. Finkel, Esq., do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.

  
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Seth L. Finkel, Esq.

June 4, 1997  
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Date of award