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N.A.S.D. REGULATION AWARD
NATIONAL ASSOCIATION OF SECURITIES DEALERS REGULATION, INC.

CASE: 96-04033

Constance D. Dulin, claimant v. Wilmington Brokerage Services Co., respondents.

ATTORNEYS:

Claimant appeared Pro Se., Lewes, DE

For Respondents

Matthew J. Lynch, Jr., Esq., North Wilmington, DE

DATE FILED: 9/10/96

CASE SUMMARY: Claimant alleged failure to pay commissions.

Claim Data

Claim: \$2,873.00 plus interest at
at 10% from 5/10/96
Punitive: \$.00
Atty Fees: \$.00
Filing Fees: \$575.00
Other: \$.00

Award Data

Award: \$2,206.00
Interest: \$.00
Punitive: \$.00
Atty Fees: \$.00
Filing Fees: \$575.00
Other: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is liable and shall pay to the claimant \$2,206.00. 2) All other requests for relief are denied. 3) The \$575.00 filing fee previously deposited by the claimant with the National Association of Securities Dealers Regulation, Inc. shall be retained by NASD Regulation, Inc. Respondent is liable and shall pay claimant \$575.00 as reimbursement of the filing fee.

AFFIRMATION

I, Eugene Arnold, Jr., do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.


Eugene Arnold, Jr.

June 5, 1997
Date of award