

N.A.S.D. REGULATION AWARD
NATIONAL ASSOCIATION OF SECURITIES DEALERS REGULATION, INC.

CASE: 96-04047

Mario L. Bianco, claimant v. Dreyfus Service Corp., respondent.

ATTORNEYS:

Claimant appeared Pro Se., West Babylon, NY.

For Respondent

Through its Chief Legal Officer, Andrew C. Wels - New York, NY.

DATE FILED: 9/10/96.

CASE SUMMARY: Claimant alleged failure to follow instructions to mail check from the close out of his account causing loss on future stock investments.

Claim Data

Claim: \$1,282.50
Punitive: \$.00
Atty Fees: \$.00
Filing Fees: \$.00
Other: \$.00

Award Data

Award: \$300.00
Punitive: \$.00
Atty Fees: \$.00
Filing Fees: \$50.00
Other: \$00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: Respondent is liable and shall pay to the claimant \$300.00 2) All other requests for relief are denied. The \$50.00 filing fee previously deposited by the claimant with National Association of Securities Dealers Regulation, Inc. shall be retained by NASD Regulation, Inc. Respondent is liable and shall pay claimant \$50.00 as reimbursement of the filing fee.

AFFIRMATION

I, Rena E. Grossfield, do hereby affirm, pursuant to article 7507 of the Civil Practice law and Rules, that I am the individual described herein, and who executed this instrument which is my award.

Rena E. Grossfield

Rena E. Grossfield

June 5, 1997
Date of award