

**N.A.S.D. REGULATION AWARD**

**NATIONAL ASSOCIATION OF SECURITIES DEALERS REGULATION, INC.**

CASE: 96-04243

Charles Kitchen and Yolanda Kitchen, claimants v. Quick and Reilly, Inc., respondents.

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**ATTORNEYS:**

For Claimant

Claimants appeared Pro Se - Rohnert Park, CA.

For Respondent

Douglas S. Flaherty, Esq. in-house counsel - New York, NY.

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DATE FILED: September 23, 1996.

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**CASE SUMMARY:** Claimants alleged misrepresentation regarding the sale price of shares of Canadian Golden Dragon.

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**Claim Data**

Claim: \$2,800.00

Punitive: \$.00

Atty Fees: \$.00

Filing Fees: \$.00

Other: \$.00

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**Award Data**

Award: \$.00

Punitive: \$.00

Atty Fees: \$.00

Filing Fees: \$62.50

Other: \$.00

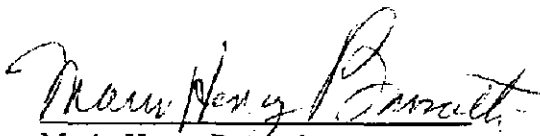
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**AWARD:** The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of claimant are dismissed in their entirety. 2) All other requests for relief are denied. 3) The \$125.00 filing fee previously deposited by the claimant with the National Association of Securities Dealers Regulation, Inc. shall be retained by NASD Regulation, Inc. Respondent is liable and shall pay claimants \$62.50 as reimbursement of one half of the filing fee.

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**AFFIRMATION**

I, Mario Henry Barsotti, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.

  
Mario Henry Barsotti

June 24, 1997  
Date of award