

N.A.S.D. REGULATION AWARD
NATIONAL ASSOCIATION OF SECURITIES DEALERS REGULATION, INC.

CASE: 96-04306

Olde Discount Corporation, claimant v. Barry & Leanne Raymond, respondents.

ATTORNEYS:

For Claimant appeared Steven D. Carby, Esq. - Detroit, MI.

For Respondents appeared Robert D. Charleston, Esq. Bala Cynwyd, PA

DATE FILED: 9/27/96

CASE SUMMARY: Claimant alleged failure to pay debit balance in account after sale of stock.

Claim Data

Claim: \$4,538.68
Punitive: \$.00
Atty Fees: Unspecified
Filing Fees: Unspecified
Other: \$.00

Award Data

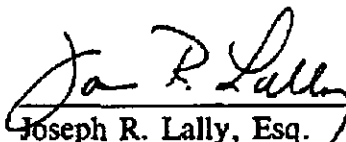
Award: \$.00
Punitive: \$.00
Atty Fees: \$.00
Filing Fees: \$.00
Other: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of claimant are dismissed in their entirety. 2) All requests for attorney fees are denied. 3) All other requests for relief are denied. 4) The \$575.00 filing fee previously deposited by the claimant with the National Association of Securities Dealers Regulation, Inc. shall be retained by NASD Regulation, Inc.

OTHER ISSUES: Respondent's motion to preclude claimant's answer is denied.

AFFIRMATION

I, Joseph R. Lally, Esq., do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.


Joseph R. Lally, Esq.

November 14, 1997
Date of award