

AMERICAN STOCK EXCHANGE
IN THE MATTER OF ARBITRATION BETWEEN

MARTIN FEINS V. MICHAEL FRANK & ROCKRIMMON SECURITIES, INC.

4 96-05

DATE FILED: 12/4/95 FIRST SCHEDULED 3/2/96 DECIDED 3/22/96
CASE SUMMARY: * A Member v. Member Lessee/Lessor Lease Agreement smalls claims arbitration.

CLAIMANT'S INITIALS _____ RESPONDENT'S _____ THIRD PARTY'S INITIALS _____
SESSIONS: HEARING DECISION BASED ON THE PLEADINGS.

CLAIM AND AWARD DATA:

CL	\$2,850.00	CC/3rd PTY	Requested	AWARD	\$3,021+interest
PUNITIVE	N/A	PUNITIVE	N/A	PUNITIVE	N/A
ATTY FEES	Requested	ATTY FEES	Requested	ATTY FEES	Denied
DEPOSIT	\$300.00	DEPOSIT	\$300.00	DEPOSIT	\$300.00
COSTS		COSTS		COSTS	\$3,021+interest

DECISION: The undersigned arbitrators have decided and determined in full and final settlement of all claims between the parties that:*

THE Claimant is awarded the sum of \$2,850 plus interest of \$171 for a total award of \$3,021;

THE Respondent's counterclaim is denied;

ALL Request for attorney fees is denied;

The costs of the arbitration shall be shared by both parties. The \$300 arbitration filing fee shall be paid by Claimant who has already remitted said sum as his initial filing deposit.

THE Respondent's \$300 counterclaim fee has already been remitted to the Exchange.

ATTORNEY: MARTIN FEINS - CLAIMANT - PRO-SE - NEW LONDON, NEW HAMPSHIRE.

ALLAN S. SEXTER, ESQ. - REPRESENTING RESPONDENT - SEXTER & WARMFLASH - NEW YORK, N.Y.

ARBITRATORS **

FRANCIS FLANNERY, ESQ., SOLE ARBITRATOR


SIGNATURE

SIGNATURE

SIGNATURE

APRIL 30, 1996

CITY NEW YORK STATE NEW YORK

DATE:

* Additional pages may be attached.
** (Dissents)