

N.A.S.D. REGULATION AWARD
NATIONAL ASSOCIATION OF SECURITIES DEALERS REGULATION, INC.

CASE: 96-05195

Olde Discount Corporation, claimant vs. William Bolton, respondent.

ATTORNEYS:

For Claimant appeared in-house counsel Robert S. Anderson, Esq., Detroit, MI.

Respondent appeared Pro Se, Staten Island, NY.

DATE FILED: November 21, 1996

CASE SUMMARY: Claimant alleged that respondent failed to pay for executed stock purchase orders which resulted in a debit account balance.

Claim Data

Claim: \$1,760.26

Interest: \$.00

Atty Fees: \$.00

Filing Fees: \$575.00

Costs: \$.00

Award Data

Award: \$1,760.26

Interest: @ 9% per annum from
11/21/96 to payment date

Atty Fees: \$.00

Filing Fees: \$.00

Costs: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is liable and shall pay to the claimant \$1,760.26. 2) Respondent is liable and shall pay to the claimant interest at the rate of 9% per annum from 11/21/96 to the payment date. 3) All requests for attorney fees are denied. 4) All other relief requests are denied. 5) The \$575.00 filing fee previously deposited with the National Association of Securities Dealers Regulation, Inc. by the claimant, shall be retained by NASD Regulation, Inc.

OTHER ISSUES: The arbitrator denied claimant's Request For An Order Precluding Respondent From Presenting Any Matter Or Arguments.

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AFFIRMATION

I, Richard W. Vallario, do hereby affirm, pursuant to article 7507 of the Civil Practice law and Rules, that I am the individual described herein, and who executed this instrument which is my award.



Richard W. Vallario

November 28, 1997

Date of Award