

97-05259

**N.A.S.D. REGULATION AWARD**  
**NATIONAL ASSOCIATION OF SECURITIES DEALERS REGULATION, INC.**

CASE: 96-05259

Abraham Branitzky, claimant vs. Patterson Travis, Inc. and Robert E. Lee, respondents.

**ATTORNEYS:**

For Claimant appeared James E. Beckley, Esq., of the law firm James E. Beckley & Associates, Wheaton, IL.

For Respondents appeared Anthony J. Morano, Director of Compliance, New York, NY.

**DATE FILED:** November 26, 1996.

**CASE SUMMARY:** Claimant alleged fraud, misrepresentation, failure to supervise and market manipulation regarding Red Hot Concepts, Inc. stock transaction.

**Claim Data**

Claim: \$3,093.75  
Interest: pre-award & post award @10%  
Atty Fees & Costs: \$.00  
Filing Fees: \$.00  
Other: \$.00

**Award Data**

Award: \$.00  
Interest: \$.00  
Atty Fees & Costs: \$.00  
Filing Fees: \$.00  
Other: \$.00


**Settlement Data**

Claim: \$3,093.75  
Interest: pre-award & post award @10%  
Atty Fees & Costs: \$.00  
Filing Fees: \$.00  
Other: \$.00

**AWARD:** The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of claimant are dismissed in their entirety. 2) All requests for attorney fees and costs are denied. 3) All other relief requests are denied. 4) The \$125.00 filing fee previously deposited with the National Association of Securities Dealers Regulation, Inc. by the claimant, shall be retained by NASD Regulation, Inc.

**AFFIRMATION**

I, Colleen Grace, Esq., do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.

  
Colleen Grace, Esq.

September 25, 1997  
Date of award